

STATEMENT UNDER 37 CFR 3.73(B)

Applicant/Patent Owner: Leigh H. English, Susan M. Brussock, Thomas M. Malvar, James W. Byrson, Caroline A. Kulesza, Frederick S. Walters, Stephen L. Slatin, Michael A. Von Tersch and Charles Romano

Application No./Patent No. 10/614,076

Filed/Issue Date: July 3, 2003

Entitled: COLEPTERAN-RESISTANT TRANSGENIC PLANTS AND METHODS OF THEIR PRODUCTION

Monsanto Technology LLC

, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Leigh H. English, Susan M. Brussock, Thomas M. Malvar, James W. Byrson, Caroline A. Kulesza, Frederick S. Walters, Stephen L. Slatin, Michael A. Von Tersch and Charles Romano
To: Ecogen, Inc.

The document was recorded in the United States Patent and Trademark Office at Reel 009244, Frame 0001, or for which a copy thereof is attached.

2. From: Charles Romano To: Monsanto Company
The document was recorded in the United States Patent and Trademark Office at Reel 009259, Frame 0402, or for which a copy thereof is attached.

3. From: Ecogen Inc. To: Monsanto Company
The document was recorded in the United States Patent and Trademark Office at Reel 010340, Frame 0927, or for which a copy thereof is attached.

- ☒ Additional documents in the chain of title are listed on a supplemental sheet.
- ☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.081]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

September 17, 2010

Date

Robert E. Hanson, Reg. No. 42,628

Printed or Typed Name

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Telephone Number

Attorney for Applicants

Title

MONS:257USD1

File Code

From: Pharmacia Corporation, Formerly Known as Monsanto Company To: Monsanto Technology LLC

The document was recorded in the United States Patent and Trademark Office at

Reel 012350, Frame 0224, or for which a copy thereof is attached.



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Patent Assignment Abstract of Title

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For pending or abandoned applications please consult USPTO staff.***

Total Assignments: 4

Patent #: 5060594

Issue Dt: 05/09/2000

Application #: 08993722

Filing Dt: 12/18/1997

Inventors: LEIGH H. ENGLISH, SUSAN M. BRUSSOCK, THOMAS M. MALVAR, JAMES W. BRYSON et al

Title: NUCLEIC ACID SEGMENTS ENCODING MODIFIED BACILLUS THURINGIENSIS COLEOPTERAN-TOXIC CRYSTAL

Assignment: 1

Reel/Frame: 009244/0001

Recorded: 06/08/1998

Pages: 36

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

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Exec Dt: 03/12/1998

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Exec Dt: 03/16/1998

BRYSON, JAMES W.

Exec Dt: 03/13/1998

KULESZA, CAROLINE A.

Exec Dt: 03/13/1998

WALTERS, FREDERICK S.

Exec Dt: 03/20/1998

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Exec Dt: 03/12/1998

VON TERSCH, MICHAEL A.

Exec Dt: 04/28/1998

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Reel/Frame: 009259/0402

Recorded: 06/03/1998

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

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Exec Dt: 05/22/1998

Assignee: MONSANTO COMPANY

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Assignment: 3

Reel/Frame: 010340/0927

Recorded: 11/01/1999

Pages: 11

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: ECOGEN, INC.

Exec Dt: 10/08/1999

Assignee: MONSANTO COMPANY

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Assignment: 4**Reel/Frame:** 012350/0224**Recorded:** 06/13/2001**Pages:** 54**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignor:** PHARMACIA CORPORATION, FORMERLY KNOWN AS MONSATO COMPANY**Exec Dt:** 06/11/2001**Assignee:** MONSANTO TECHNOLOGY LLC
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